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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/725,394	11/29/2000	Wesley W. Whitmyer JR.	03000- P0004C WWW/CJP	9725
24126	7590	10/28/2003	EXAMINER	
ST. ONGE STEWARD JOHNSTON & REENS, LLC 986 BEDFORD STREET STAMFORD, CT 06905-5619			NGUYEN, CINDY	
			ART UNIT	PAPER NUMBER

2171

DATE MAILED: 10/28/2003

10

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/725,394

Applicant(s)

WHITMYER, WESLEY W.

Examiner

Cindy Nguyen

Art Unit

2171

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 05 September 2003.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☐ Claim(s) 1-10 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-10 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 07 February 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on _____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892) 4) ☐ Interview Summary (PTO-413) Paper No(s). _____
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948) 5) ☐ Notice of Informal Patent Application (PTO-152)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) _____ 6) ☐ Other: _____

DETAILED ACTION

This is in response to amendment filed 09/05/03.

1. *Claim Rejections - 35 USC § 103*

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. *Claims 1-10 are rejected under 35 U.S.C. 103(a) as being unpatentable over Rivette et al (U.S 5991752) in view of Kahn et al (U.S 6135646) (Kahn).*

Regarding claims 1, 3 and 8, Rivette disclose: A system for automating the recordation of a property transfer comprising: an Internet server (310, 314, fig. 3 and corresponding text, Rivette);

a communications link between said Internet server and the Internet (306, 312, fig. 3 and corresponding text, Rivette);

at least one database containing a plurality of information records accessible by said Internet server (312, fig. 3 and corresponding text, Rivette), each information record including an intellectual property identification number and a jurisdiction identifier (604, 614, fig. 6 and corresponding text, Rivette);

Art Unit: 2171

at least one database containing a plurality of recordation forms accessible by said Internet server, each information record including an intellectual property type identifier and a jurisdiction identifier (612, 626, 640, fig. 6 and corresponding text, Rivette);

However, Rivette didn't disclose: software executing on said Internet server for receiving a transfer request. On the other hand, Kahn disclose: software executing on said Internet server (as network) for receiving a transfer request indicative of a transfer of rights to the property (col. 4, lines 37-44, Kahn). Thus, at the time invention was made, it would have been obvious to a person of ordinary skill in the art to include software executing on said Internet server for receiving a transfer request indicative of a transfer of rights to the property in the system of Rivette as taught by Kahn. The motivation being to enable the user to automatically retrieving the documents for recording the transfer of intellectual properties the network system.

In addition, Rivette/ Kahn disclose: software executing on said Internet server for querying said database (as database 41) of information records to retrieve an information record corresponding to a transfer request (col. 6, lines 32-52, Kahn); for querying said database of recordation forms to retrieve a recordation form corresponding to said transfer request (col. 6, lines 32-52, Kahn), and for combining the retrieved information record with the retrieved recordation form to generate a document (col. 8, lines 47-55, Kahn). Thus, at the time invention was made, it would have been obvious to a person of ordinary skill in the art to include the query for retrieving a recordation form corresponding to said transfer request and combining the retrieved information record with the retrieved recordation form to generate a document in the system of Rivette as taught by Kahn. The motivation being to enable the user to automatically retrieving the documents for recording the transfer of intellectual properties the network system.

Art Unit: 2171

Rivette/ Kahn disclose: software executing on said Internet server for transmitting said property transfer request form through the Internet (col. 9, lines 1-10, Kahn);

software executing on said Internet server for receiving a reply to said property transfer request form (col. 26, lines 34-46, Rivette);

software executing on said Internet server for transmitting said transfer document through the Internet (col. 22, lines 56-65, Rivette).

software executing on said Internet server for querying said database of information records to retrieve information records corresponding to said intellectual property information request (col. 22, lines 66 to col. 23, lines 10, Rivette);

software executing on said Internet server for updating said database containing a plurality of information records (col. 32, lines 66-33, lines 9, Rivette).

Regarding claims 2, 4 and 9, most of the limitations of these claims have been noted in the rejection of claims 1, 3 and 8 above, respectively. In addition, Rivette/ Kahn disclose: wherein said property is intellectual property such as patents, copyrights, and trademarks (col. 10, lines 17-28, Rivette).

Regarding claims 5 and 6, all the limitations of these claims have been noted in the rejection of claim 3. In addition, Rivette/ Kahn disclose: comprising of software executing on said Internet server for receiving and transmitting an executed transfer document (col. 26, lines 34-46, Rivette).

Art Unit: 2171

Regarding claim 7, all the limitations of this claim have been noted in the rejection of claim 3. In addition, Rivette/ Kahn disclose: comprising of software executing on said internet server for transmitting said executed transfer document to a property recordation authority (col. 7, lines 49-60, Kahn). Thus, at the time invention was made, it would have been obvious to a person of ordinary skill in the art to include the software execution for transmitting said executed transfer document to a property recordation authority in the system of Rivette as taught by Kahn. The motivation being to enable the user to secure transferring the intellectual properties over the Internet.

Regarding claim 10, all the limitations of this claim have been noted in the rejection of claim 8. In addition, Rivette/ Kahn disclose: comprising of software executing on said internet server for retrieving said updated to said database containing a plurality of information records through the internet from a plurality of sources (col. 38, lines 31-44, Rivette).

3. *Response to Amendment (09/05/03)*

Applicant's arguments with respect to claims 1-10 have been considered but are moot in view of the new ground(s) of rejection.

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO**

Art Unit: 2171

MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

4. Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Roberts et al. (U.S 6292788). Methods and investment instruments for performing tax-deferred real estate exchanges.

Stefik et al. (U.S 5634012). System for controlling the distribution and use of digital works having a fee reporting mechanism.

5. Contact Information

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Cindy Nguyen whose telephone number is 703-305-4698. The examiner can normally be reached on M-F: 8:00-5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Safet Metjahic can be reached on 703-308-1436. The fax phone numbers for the organization where this

Art Unit: 2171

application or proceeding is assigned are 703-746-7239 for regular communications and 703-746-7240 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-305-3900.

CN

Cindy Nguyen
October 22, 2003

S. Metjahic

SAFET METJAHIC
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2100